

Null Controllability of the Weakly Dissipative Higher-order Camassa-Holm Equation with Moving Control

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Abstract: In this paper, we study the null controllability of the weakly dissipative higher-order Camassa-Holm equation on the one-dimensional torus. We construct a biorthogonal family in $L^2(-T/2, T/2)$ and obtain the control function which is acting on a moving small interval with a constant velocity. Then we reduce the controllability problem to a moment problem. In the framework of the moment problem, we prove the null controllability of the higher-order CH equation in a suitable space with a regular initial data.

Keywords: higher-order Camassa-Holm equation; null controllability; moving control; moment problem

1 Introduction

The nonlinear dispersive wave equation

$$u_t + 2\lambda u_x - u_{xxt} + 3uu_x = 2u_x u_{xx} + uu_{xxx} \quad (1)$$

was derived by Camassa and Holm [1] as a model for the unidirectional propagation of shallow water waves over a flat bottom. Here $u(t, x)$ stands for the fluid velocity at time t in the spatial x direction (or equivalently the height of the free surface of water above a flat bottom), λ is a constant related to the critical shallow water wave speed. Eq. (1) is the well-known Camassa-Holm equation. It has a bi-Hamiltonian structure [2,3] and is completely integrable [1,4]. The well-posedness of the Camassa-Holm equation has been studied extensively [5-8]. Similar results for the local well-posedness of Eq. (1) were obtained by Rodriguez-Blanco [9]. In recent years, many researchers have researched the Camassa-Holm equation. They extend the studies to the generalized CH equation, higher-order CH equations and so on. L. Tian, Ch. Shen and D. Ding gave the optimal control of the viscous Camassa-Holm equation under the boundary condition and proved the existence and uniqueness of optimal solution to the viscous Camassa-Holm equation in a short interval [10]. In [11], Robert M. and X. Zhang studied the well-posedness and dynamics of a modified Camassa-Holm equation on the unit circles and obtained the result that it had some significant difference from that of the Camassa-Holm equation. Using geometrical methods, higher-order CH equations have been treated in [12] by Constantin and Kolev. The well-posedness of higher-order Camassa-Holm equations were considered in [13]. L. Tian, P. Zhang, et al have studied the global existence for the higher-order Camassa-Holm equation [14]. These works extended the research of the Camassa-Holm equation to more extensive fields.

The formulation of the higher-order Camassa-Holm equation recently derived by Coclite, Holden and et al in [15] is

$$\begin{aligned} B_k(u, u) &:= A_k^{-1} C_k(u) - uu_x, \\ A_k(u) &:= \sum_{j=0}^k (-1)^j \partial_x^{2j} u, \\ C_k(u) &= -u A_k(\partial_x u) + A_k(u \partial_x u) - 2 \partial_x u A_k(u) \end{aligned} \quad (2)$$

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where k is a positive integer. In the cases of $k = 0$ and $k = 1$, Eq. (2) becomes the inviscid Burgers equation

$$u_t + 3uu_x = 0$$

and the Camassa-Holm equation

$$u_t - u_{xxt} + 3uu_x = 2u_x u_{xx} + uu_{xxx}.$$

We write Eq. (2) of the case of $k = 2$ as following

$$m_t + 2u_x m + um_x = 0, \quad (3)$$

where $m = u - u_{xx} + u_{xxxx}$, $u(t, x)$ describes the horizontal velocity of the fluid.

It also can be rewritten as

$$u_t - u_{txx} + u_{txxxx} + 3uu_x - 2u_x u_{xx} - uu_{xxx} + 2u_x u_{xxxx} + uu_{xxxx} = 0, \quad (4)$$

which is called higher-order Camassa-Holm equation.

This paper is devoted to studying null controllability for the linearizing system of (4) with a moving distributed control

$$\begin{cases} y_t - y_{txx} + y_{txxxx} + \omega(y - y_{xx} + y_{xxxx}) = b(x)u(t) \\ y(x, 0) = y_0 \end{cases} \quad (5)$$

where $x \in \mathbf{T} = R/(2\pi Z)$, $t \in [0, T]$, $\omega(y - y_{xx} + y_{xxxx})$ is the weakly dissipative item, $u(t)$ is the control.

The concept of moving point control was firstly introduced by J. L. Lions in [16] for the wave equation. One important motivation for this kind of control is that the exact controllability of the wave equation with a pointwise control and Dirichlet boundary conditions fails if the point is a zero of some eigenfunction of the Dirichlet Laplacian, while it holds when the point is moving under some (much more stable) conditions. The controllability of the wave equation (resp. of the heat equation) with a moving point control was investigated in [16-18]. See also [19] for Maxwells equations.

Let $z(x, t) = y(x - ct, t)$, then (5) turns into

$$\begin{cases} z_t - z_{txx} + z_{txxxx} + c(z_x - z_{xxx} + z_{xxxx}) + \omega(z - z_{xx} + z_{xxxx}) = b(x)u(t) \\ z(x, 0) = z_0 \end{cases} \quad (6)$$

For convenience, we assume that $c = -1$, while for any c it can be derived in the same way.

Namely, we consider the following system

$$\begin{cases} z_t - z_{txx} + z_{txxxx} - (z_x - z_{xxx} + z_{xxxx}) + \omega(z - z_{xx} + z_{xxxx}) = b(x)u(t) \\ z(x, 0) = z_0 \end{cases} \quad (7)$$

2 Preliminaries

2.1 Spectral decomposition

The free evolution equation as sociated with (7) reads

$$z_t - z_{txx} + z_{txxxx} - (z_x - z_{xxx} + z_{xxxx}) + \omega(z - z_{xx} + z_{xxxx}) = 0. \quad (8)$$

Let us first consider the operator

$$z_t = Az := (I - \partial_x^2 + \partial_x^4)^{-1}((z_x - z_{xxx} + z_{xxxx}) - \omega(z - z_{xx} + z_{xxxx})). \quad (9)$$

The eigenvalues of A can be obtained by solving

$$\lambda(z - z_{xx} + z_{xxxx}) = (z_x - z_{xxx} + z_{xxxx}) - \omega(z - z_{xx} + z_{xxxx}) \quad (10)$$

Expanding z as a Fourier series $z = \sum_{k \in Z} z_k(t)e^{ikx}$, we obtain that (10) is satisfied provided that for each $k \in Z$

$$(\lambda_k(1 + k^2 + k^4) - ik(1 + k^2 + k^4) + \omega(1 + k^2 + k^4))z_k(t) = 0. \quad (11)$$

Since $z_k(t) \neq 0$, the eigenvalues of A read as

$$\lambda_k = -\omega + ik. \tag{12}$$

The expression of λ_k implies that $\lambda_k \neq \lambda_l$, for $k \neq l$.

To each λ_k corresponds an eigenfunction e^{ikx} , if we consider an initial data $y_0 \in L^2(\mathbf{T})$ with $y_0 = \sum_{k \in Z} a_k e^{ikx}$, the solution of (11) corresponding to this initial data can be written as

$$z(x, t) = \sum_{k \in Z} a_k e^{\lambda_k t} e^{ikx} \tag{13}$$

As an easy consequence of the above representation formula, we have the following result.

Proposition 1 ([20]) *Let $s \in R$. If $y_0 \in H^s(\mathbf{T})$, then $z \in C([0, \infty]; H^s(\mathbf{T}))$.*

Proof. If $y_0 \in H^s(\mathbf{T})$, we have $\sum_{k \in Z} |a_k|^2 k^{2s} < \infty$. Then

$$|a_k e^{\lambda_k t}|^2 k^{2s} \leq |a_k|^2 k^{2s} e^{2\lambda_k t} \leq |a_k|^2 k^{2s} e^{-2t} \tag{14}$$

and hence $z \in C([0, \infty), H^s(\mathbf{T}))$ ■

2.2 Construction of a biorthogonal family

This section is motivated by [20]. The main idea is to construct a suitable family $\{\Phi_k\}_{k \in Z}$ of entire functions of exponential type, then Ψ_k can be defined as the inverse Fourier transform of Φ_k , which has the following characteristic.

Proposition 2 *Let $T > 2\pi$. There exists a family $\{\Psi_k\}_{k \in Z}$ of functions in $L^2(-T/2, T/2)$ such that*

$$\int_{-T/2}^{T/2} \Psi_k(t) e^{\lambda_l t} dt = \delta_k^l, \quad k, l \in Z, \tag{15}$$

where δ_k^l is the Kronecker symbol. Moreover

$$\|\Psi_k\|_{L^2(-T/2, T/2)} \leq C|k|, \tag{16}$$

where C is a positive constant.

Proof. We note

$$P(z) = \frac{\sin[(z + i\omega)\pi]}{(z + i\omega)\pi}, \quad z \in \mathbf{C}, \tag{17}$$

which has simple zeros exactly at $\{i\lambda_k\}_{k \in Z \setminus \{0\}}$

It is easy to see that

$$|P(z)| \leq e^{|(z+i\omega)\pi|} \leq e^{\pi|z|}. \tag{18}$$

This implies that P is an entire function of exponential type π , and

$$P'(i\lambda_k) = (-1)^{k+1} \frac{1}{k}. \tag{19}$$

Now, we can construct the functions in the biorthogonal family.

We set

$$\Phi_k(z) = \frac{P(z)}{P'(i\lambda_k)(z - i\lambda_k)}, \quad k \in Z \setminus \{0\}. \tag{20}$$

Obviously, Φ_k is an entire function of exponential type $\frac{T}{2}$ and

$$\Phi_k(i\lambda_l) = \delta_k^l. \tag{21}$$

Furthermore, we have that

$$|\Phi_k(x)| = \left| \frac{P(x)}{P'(i\lambda_k)(x - i\lambda_k)} \right| \leq \frac{C|k|}{|(x + i\omega)(x + k + i\omega)|} = \frac{C|k|}{\sqrt{(x^2 + xk - \omega^2)^2 + \omega^2(2x + k)^2}}. \tag{22}$$

Therefore,

$$\begin{aligned} \|\Phi_k\| &\leq C|k| \left(\int_{-\infty}^{+\infty} \frac{1}{(x^2 + xk - \omega^2)^2 + \omega^2(2x + k)^2} dx \right)^{\frac{1}{2}} \\ &= C|k| \left(\int_0^{+\infty} \frac{1}{s^4 + (2\omega^2 - \frac{k^2}{2})s^2 + (\omega^2 + \frac{k^2}{2})^2} ds \right)^{\frac{1}{2}} \\ &= C|k| \left(\int_0^{+\infty} \frac{1}{(s^2 - (\frac{k^2}{2} - 2\omega^2))^2 + 2\omega^2 k^2} ds \right)^{\frac{1}{2}} \leq C|k|. \end{aligned} \quad (23)$$

Similar to $\Phi_k(z)$, we may define

$$\Phi_0(z) = \frac{P(z)}{P'(-i\omega)(z + i\omega)} \quad (24)$$

It is clear that Φ_0 is an entire function of exponential type $T/2$ and it belongs to $L^2(R)$. Now, we construct the family Ψ_k by inverse Fourier transform of Φ_k . Then it follows from the Paley-Wiener theorem that Ψ_k also belongs to $L^2(R)$ and is supported in $[-\frac{T}{2}, \frac{T}{2}]$. Thus, we obtain

$$\int_{-T/2}^{T/2} \Psi_k(t) e^{\lambda_l t} dt = \Phi_k(i\lambda_l), \quad k, l \in Z \quad (25)$$

And (15) follows from (21) and (25). The proof of Proposition 2.2 is completed. ■

3 Null controllability

The precise result of null controllability for (7) is given in the following theorem.

Theorem 3 Let $b(x) \in L^2(\mathbf{T})$ be such that

$$\rho_k = \int_{\mathbf{T}} b(x) e^{-ikx} dx \neq 0 \quad \text{for } k \in Z. \quad (26)$$

For any $T > 2\pi$ and any $y_0 \in L^2(\mathbf{T})$ decomposed as $y_0 = \sum_{k \in Z} a_k e^{ikx}$ with

$$\sum_{k \in Z \setminus \{0\}} |\rho_k|^{-1} |a_k| |k|^5 < \infty, \quad (27)$$

then there exists a control $u \in L^2(0, T)$ such that (7) is null controllable.

Proof. Let us recall the control system

$$z_t - z_{txx} + z_{txxxx} - (z_x - z_{xxx} + z_{xxxxx}) + \omega(z - z_{xx} + z_{xxxx}) = b(x)u(t), \quad (28)$$

where $b \in L^2(\mathbf{T})$, $\text{supp } b \subset \mathbf{T}$ and $u \in L^2(0, T)$ is the control decided in the later.

The adjoint equation to the above system reads

$$\varphi_t - \varphi_{txx} + \varphi_{txxxx} - (z_x - z_{xxx} + z_{xxxxx}) + \omega(z - z_{xx} + z_{xxxx}) = 0 \quad (29)$$

Multiplying (28) by φ and integrating by parts, we obtain

$$\int_{\mathbf{T}} z m|_0^T dx + \int_{\mathbf{T}} z(T)(m_{xxxx}(T) - m_{xx}(T)) dx + \int_{\mathbf{T}} z(0)(m_{xx}(0) - m_{xxxx}(0)) dx = \int_0^T \int_{\mathbf{T}} bu\varphi dx dt, \quad (30)$$

where $m = \varphi - \varphi_{xx} + \varphi_{xxxx}$.

Note that $\varphi(x, t) = \hat{z}(2\pi - x, T - t)$ is the solution of (29) if $\hat{z}(2\pi - x, T - t) = \sum_{k \in Z} a_k e^{\lambda_k t} e^{ikx}$ is the solution of (28) for $u(t) \equiv 0$. Then from (30), we get

$$\begin{aligned} \sum_{k \in Z} a_k (1 + k^2 + k^4)^2 < z(T), e^{-ikx} > - \sum_{k \in Z} a_k (1 + k^2 + k^4)^2 e^{\lambda_k T} < z(0), e^{-ikx} > \\ &= \sum_{k \in Z} a_k \int_0^T e^{\lambda_k(T-t)} u(t) dt \int_{\mathbf{T}} b(x) e^{-ikx} dx \end{aligned} \quad (31)$$

Recall $\rho_k = \int_{\mathbf{T}} b(x) e^{-ikx} dx$. Since the identity (31) is true for each $a_k \in l^2$, it follows that

$$(1 + k^2 + k^4)^2 < z(T), e^{-ikx} > - (1 + k^2 + k^4)^2 e^{\lambda_k T} < z(0), e^{-ikx} > = \rho_k \int_0^T e^{\lambda_k(T-t)} u(t) dt \quad (32)$$

Let us denote $\alpha_k = -\rho_k^{-1} (1 + k^2 + k^4) e^{\lambda_k \frac{T}{2}} < z(0), e^{-ikx} >$ and introduce a function using the biorthogonal family which we construct in the section 2.2.

$$\Psi(t) = \sum_{k \in Z \setminus \{0\}} \alpha_k \Psi_k(t) \quad (33)$$

Then we choose the control function

$$u(t) = \Psi\left(\frac{T}{2} - t\right) \quad (34)$$

It is clear that

$$\|u\|_{L^2(0, T)} = \|\Psi\|_{L^2(-\frac{T}{2}, \frac{T}{2})} \leq C \left(\sum_{k \in Z \setminus \{0\}} \rho_k^{-1} |a_k| (1 + k^2 + k^4) |k| \right) < \infty \quad (35)$$

Hence $u \in L^2(0, T)$.

Then, from (26),(27),(33), (34) and Proposition 2.2, for any $k \in Z$, we have

$$\rho_k \int_0^T e^{\lambda_k(T-t)} u(t) dt = \rho_k e^{\lambda_k \frac{T}{2}} \int_0^T e^{\lambda_k t} \Psi(t) dt = \rho_k e^{\lambda_k \frac{T}{2}} \alpha_k = -(1 + k^2 + k^4)^2 e^{\lambda_k T} < z(0), e^{-ikx} > \quad (36)$$

Combining with (32) and (36), we include that $< z(T), e^{-ikx} > = 0$, for any $k \in Z$, which implies that $z(T) = 0$. This completes the proof of Theorem 3.1. ■

4 Conclusion

In this paper, the equation $z_t - z_{txx} + z_{txxxx} - (z_x - z_{xxx} + z_{xxxx}) + \omega(z - z_{xx} + z_{xxxx}) = b(x)u(t)$ is proved to be null controllable on the torus (i.e. with periodic boundary conditions). Of course we also can gain the similar result with a moving point control, such as $u(t)\delta(x)$. Null controllability can also be studied by means of Calman estimation. Once null controllability is obtained, we can consider the exact controllability and local exact controllability to a trajectory for this kind of equation in the future .

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